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AF 11645/14

PTO/SB/021 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/833,017	
	Filing Date	April 10, 2003	
	First Named Inventor	Dennis Cvitkovich	
	Group Art Unit	1645	
	Examiner Name	Padmavathi Baskar	
Total Number of Pages in This Submission	*	Attorney Docket Number	1889-00401

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TECH CENTER 1889/2900

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request (submitted in duplicate) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (see other Enclosures) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment (for an application) <input checked="" type="checkbox"/> Drawing(s) (see other Enclosures) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none">• Certified Copies of Canadian Patent No. 2,302,861 and Canadian Patent No. 2,332,733• Eleven (11) sheets of replacement drawings containing Figs. 2A-D, Figs. 9A-G and Figs. 11A-D (under separate cover to Official Draftsman)• Acknowledgment Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Or Individual Name	Carol G. Mintz, Reg. No. 38,561
Signature	<i>Carol G. Mintz</i>
Date	April 14, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: April 14, 2003			
Typed or Printed Name	Frances Hampton		
Signature	<i>Frances Hampton</i>	Date	April 14, 2003

OFFICE OF THE COMMISSIONER OF PATENTS AND TRADEMARKS

FEE TRANSMITTAL

For FY 2003

APR 17 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$55.00

METHOD OF PAYMENT (Check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:
Deposit Account Number: 03-2769
Deposit Account Name: Conley Rose, P.C.

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
1001 750	2001 375			Utility filing fee	\$
1002 330	2002 165			Design filing fee	\$
1003 520	2003 260			Plant filing fee	\$
1004 750	2004 375			Reissue filing fee	\$
1005 160	2005 80			Provisional filing fee	\$

SUBTOTAL (1) \$

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	20** = x	18.00 = \$	
Multiple Dependent	3** = x	84.00 = \$	
		280.00 = \$0.00	

Large Entity	Small Entity	Fee	Fee	Fee Description
Code (\$)	Code (\$)			
1202 18	2202 9			Claims in excess of 20
1201 84	2201 42			Independent Claims in excess of 3
1203 280	2203 140			Multiple dependent claim, if not paid
1204 84	2204 42			** Reissue independent claims over original patent
1205 18	2205 9			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$

** or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Name (Print/Type)	Carol G. Mintz	Registration No. (Attorney/Agent)	38,561	Telephone	(713) 238-8000
Signature	Carol G. Mintz			Date	April 14, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231. If you need assistance in completing the form, call 1-800-0PTO-9199 (1-800-786-9199) and select option 2.

Complete if Known

Application Number 09/833,017
Filing Date April 10, 2001
First Named Inventor Dennis Cvitkovitch
Examiner Name Padmavathi Baskar
Group Art Unit 1645
Attorney Docket No. 1889-00401

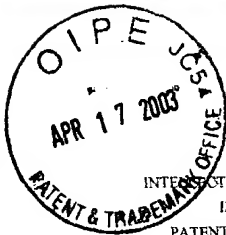
FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
1051 130	2051 65			Surcharge - late filing fee or oath	\$
1052 50	2052 25			Surcharge - late provisional filing fee or cover sheet	\$
1053 130	1053 130			Non-English specification	\$
1812 2,520	1812 2,520			For filing a request for ex parte reexamination	\$
18042 920*	1804 920*			Requesting publication of SIR prior to Examiner action	\$
1805 1,840*	1805 1,840*			Requesting publication of SIR after Examiner action	\$
1251 110	2251 55			Extension for reply within first month	\$55.00
1252 410	2252 205			Extension for reply within second month	\$
1253 930	2253 465			Extension for reply within third month	\$
1254 1,450	2254 725			Extension for reply within fourth month	\$
1255 1,970	2255 985			Extension for reply within fifth month	\$
1401 320	2401 160			Notice of Appeal	\$
1402 320	2402 160			Filing a brief in support of an appeal	\$
1403 280	2403 140			Request for oral hearing	\$
1451 1,510	1452 1,510			Petition to institute a public use proceeding	\$
1452 110	2452 55			Petition to revive - unavoidable	\$
1453 1,300	2453 650			Petition to revive - unintentional	\$
1501 1,300	2501 650			Utility issue fee (or reissue)	\$
1502 470	2502 235			Design issue fee	\$
1503 630	2503 315			Plant issue fee	\$
1460 130	1460 130			Petitions to the Commissioner	\$
1807 50	1806 50			Processing fee under 37 CFR 1.17(g)	\$
123 50	123 50			Petitions related to provisional applications	\$
1806 180	1806 180			Submission of Information Disclosure Stmt	\$
8021 40	8021 40			Recording each patent assignment per property (times number of properties)	\$
1809 750	2809 375			Filing a submission after final rejection (37 CFR § 1.129(a))	\$
1810 750	2810 375			For each additional invention to be examined (37 CFR § 1.129(b))	\$
1801 750	2801 375			Request for Continued Examination (RCE)	\$
1802 900	1802 900			Request for expedited examination of a design application	\$

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$55.00



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Patent Agent

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April 14, 2003

**PRIVILEGED AND CONFIDENTIAL
ATTORNEY CLIENT COMMUNICATION**

Attn: Official Draftsman
Commissioner for Patents
Washington, DC 20231

Re: Our File No.: 1889-00401
Our Client Ref.: 3110/0007
Serial No.: 09/833,017
Filed: April 10, 2001
For: Signal Peptides, Nucleic Acid Molecules and
Methods for Treatment of Caries

#19
Linda
4/24/03
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TECH CENTER 1600/2900

Dear Sir or Madam:

Enclosed for filing are eleven (11) sheets of replacement drawings containing Figures 2A-D, Figures 9A-G and Figures 11A-D concerning the above-identified application.

Should you have any questions, please do not hesitate to contact me.

Very truly yours,

Carol G. Mintz

CGM:fh
Enclosure

cc: Docketing - Patent